

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

740145-266

First Inventor

Yoshikazu SUZUKI et al

Title

DEVICE FOR OPERATING A HIGH PRESSURE  
DISCHARGE LAMP

Express Mail Label No.

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 13]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications (if applicable)
  - Statement Regarding Fed sponsored R & D (if applicable)
  - Reference to sequence listing, a table, or a computer program listing appendix (if applicable)
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) Figs. 1-5 [Total Sheets 5]
5. Oath or Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies; or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure ☒ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☒ Certified Copy of Priority Document(s) Japanese  
Application 2002-193501 filed July 2, 2002  
(if foreign priority is claimed)
16. ☐ Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Submission of Claiming Priority Trans.

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ CIP of prior application No.: \_\_\_\_\_

Prior application information:

Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

22204

or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

(703) 770-9300

Fax

(703) 770-9400

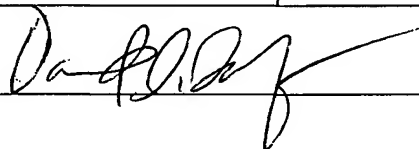
Name (Print/Type)

David S. Safran

Registration No. (Attorney/Agent)

27,997

Signature



Date

June 25, 2003

# FEE TRANSMITTAL FOR FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

AMOUNT OF PAYMENT (\$)**790.00**

Complete if Known

Application Number Not Yet Assigned

Filing Date June 25, 2003

First Named Inventor Yoshikazu SUZUKI et al

Examiner Name Not Yet Assigned

Art Unit Not Yet Assigned

Attorney Docket No. 740145-266

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

Deposit Account:

19-2380(740145-266)

Deposit Account Number

Deposit Account Name  
Nixon Peabody LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description        | Fee Paid |
|-----------------------|-----------------------|-----------------------|-----------------------|------------------------|----------|
| 1001                  | 750                   | 2001                  | 375                   | Utility filing fee     | 750.00   |
| 1002                  | 330                   | 2002                  | 165                   | Design filing fee      |          |
| 1003                  | 520                   | 2003                  | 260                   | Plant filing fee       |          |
| 1004                  | 750                   | 2004                  | 375                   | Reissue filing fee     |          |
| 1005                  | 160                   | 2005                  | 80                    | Provisional filing fee |          |

SUBTOTAL (1) (\$)**750.00**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |   | Extra Claims |   | Fee from below | Fee Paid |
|--------------------|---|--------------|---|----------------|----------|
| Total Claims       | 2 | -20** =      | 0 | X              | 0        |
| Independent Claims | 1 | -3** =       | 0 | X              | 0        |
| Multiple Dependent |   |              |   | X              | 0        |

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1202                  | 18                    | 2202                  | 9                     | Claims in excess of 20                                     |
| 1201                  | 84                    | 2201                  | 42                    | Independent claims in excess of 3                          |
| 1203                  | 280                   | 2203                  | 140                   | Multiple dependent claim, if not paid                      |
| 1204                  | 84                    | 2204                  | 42                    | ** Reissue independent claims over original patent         |
| 1205                  | 18                    | 2205                  | 9                     | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)**0**

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Entity Fee Code | Large Entity Fee (\$) | Small Entity Fee Code | Small Entity Fee (\$) | Fee Description  |
|-----------------------|-----------------------|-----------------------|-----------------------|--|
| 1051                  | 130                   | 2051                  | 65                    | Surcharge - late filing fee or oath  |
| 1052                  | 50                    | 2052                  | 25                    | Surcharge - late provisional filing fee or cover sheet                     |
| 1053                  | 130                   | 1053                  | 130                   | Non-English specification  |
| 1812                  | 2,520                 | 1812                  | 2,520                 | For filing a request for <i>ex parte</i> reexamination                     |
| 1804                  | 920*                  | 1804                  | 920*                  | Requesting publication of SIR prior to Examiner action                     |
| 1805                  | 1,840*                | 1805                  | 1,840*                | Requesting publication of SIR after Examiner action                        |
| 1251                  | 110                   | 2251                  | 55                    | Extension for reply within first month                                     |
| 1252                  | 410                   | 2252                  | 205                   | Extension for reply within second month                                    |
| 1253                  | 930                   | 2253                  | 465                   | Extension for reply within third month                                     |
| 1254                  | 1,450                 | 2254                  | 725                   | Extension for reply within fourth month                                    |
| 1255                  | 1,970                 | 2255                  | 985                   | Extension for reply within fifth month                                     |
| 1401                  | 320                   | 2401                  | 160                   | Notice of Appeal   |
| 1402                  | 320                   | 2402                  | 160                   | Filing a brief in support of an appeal                                     |
| 1403                  | 280                   | 2403                  | 140                   | Request for oral hearing   |
| 1451                  | 1,510                 | 1451                  | 1,510                 | Petition to institute a public use proceeding                              |
| 1452                  | 110                   | 2452                  | 55                    | Petition to revive - unavoidable   |
| 1453                  | 1,300                 | 2453                  | 650                   | Petition to revive - unintentional   |
| 1501                  | 1,300                 | 2501                  | 650                   | Utility issue fee (or reissue)   |
| 1502                  | 470                   | 2502                  | 235                   | Design issue fee   |
| 1503                  | 630                   | 2503                  | 315                   | Plant issue fee  |
| 1460                  | 130                   | 1460                  | 130                   | Petitions to the Commissioner  |
| 1807                  | 50                    | 1807                  | 50                    | Processing fee under 37 CFR 1.17(q)  |
| 1806                  | 180                   | 1806                  | 180                   | Submission of Information Disclosure Stmt                                  |
| 8021                  | 40                    | 8021                  | 40                    | Recording each patent assignment per property (times number of properties) |
| 1809                  | 750                   | 2809                  | 375                   | Filing a submission after final rejection (37 CFR 1.129(a))                |
| 1810                  | 750                   | 2810                  | 375                   | For each additional invention to be examined (37 CFR 1.129(b))             |
| 1801                  | 750                   | 2801                  | 375                   | Request for Continued Examination (RCE)                                    |
| 1802                  | 900                   | 1802                  | 900                   | Request for expedited examination of a design application                  |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**40.00**

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, Washington, DC 20231, on \_\_\_\_\_.

Name: \_\_\_\_\_

## SUBMITTED BY

Name (Print/Type)

David S. Safran

Registration No. (Attorney/Agent)

27,997

Complete (if applicable)

Telephone

(703) 770-9300

Signature

Date

June 25, 2003

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|   |   |                                  |
|---|---|----------------------------------|
| In re <b>PATENT</b> application of        | ) |                                  |
| Yoshikazu SUZUKI et al                    | ) | Group Art Unit: Not Yet Assigned |
| Application No. Not Yet Assigned          | ) | Examiner: Not Yet Assigned       |
| Filed: June 25, 2003                      | ) |                                  |
| For: DEVICE FOR OPERATING A HIGH PRESSURE | ) |                                  |
| DISCHARGE LAMP                            | ) |                                  |

**CLAIM FOR CONVENTION PRIORITY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22314-1450

Sir:

The benefit of the filing date of the following prior foreign application in the following foreign country is hereby requested, and the right of priority provided in 35 U.S.C. § 119 is hereby claimed:

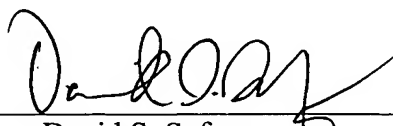
| <u>COUNTRY</u> | <u>APPLICATION NO.</u> | <u>MONTH/DAY/YEAR</u> |
|----------------|------------------------|-----------------------|
| JAPAN          | 2002-193501            | July 2, 2002          |

In support of this claim, enclosed is a certified copy of said prior foreign application.

Acknowledgment of receipt of this certified copy is requested.

Respectfully submitted,

Dated: June 25, 2003

By:   
David S. Safran  
Registration No. 27,997

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